

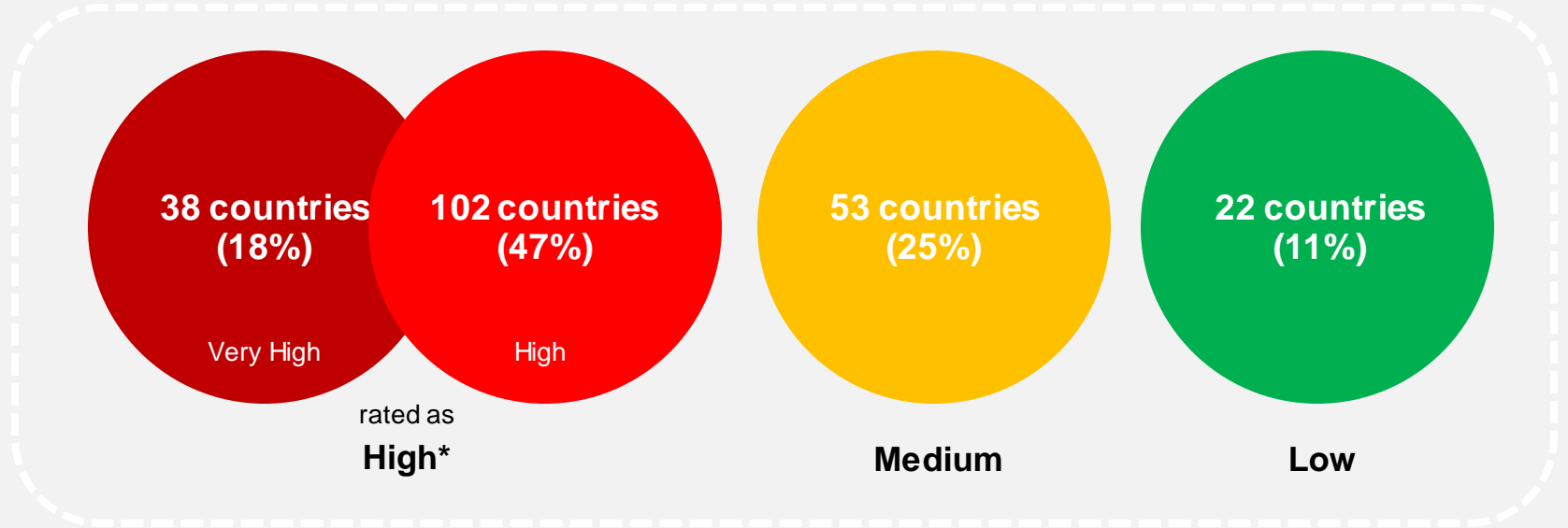
# Vattenfall SRAT\* Country Sustainability Risk Classification List

June 2021

\* Supplier Risk Assessment Tool

# Country Sustainability Risk Classification

## SRAT classification replaces the Country Risk Classification List



Risk classification of 215 countries

\* Audits must be conducted on all new suppliers of goods and services which have their HQ in one of the countries listed in a very high-risk and high-risk country.

38 countries  
(18%)

# Very High-Risk Countries SRAT Country Risk Classification



For suppliers based in very high-risk country, a sustainability audit is required according to the audit rule.

## Very high-risk countries

AFGHANISTAN	ETHIOPIA	LIBYA	TAJIKISTAN
BURUNDI	GAMBIA	NAMIBIA	TRINIDAD AND TOBAGO
CAMEROON	GUINEA	NICARAGUA	TURKMENISTAN
CENTRAL AFRICAN REPUBLIC	GUINEA-BISSAU	NIGER	UGANDA
CHAD	HAITI	NIGERIA	VANUATU
COMOROS	IRAN	SAMOA	VENEZUELA, RB
CONGO	IRAQ	SOMALIA	YEMEN
CONGO, DEM. REP.	KOREA, DEM. REP.	SOUTH SUDAN	ZIMBABWE
EQUATORIAL GUINEA	LAO PDR	SUDAN	
ERITREA	LIBERIA	SYRIAN ARAB REPUBLIC	

1/2  
102 countries  
(47%)

# High-Risk Countries

## SRAT Country Risk Classification



For suppliers based in high-risk country, a sustainability audit is required according to the audit rule.

### Very high-risk countries

ALBANIA	BELIZE	CÔTE D'IVOIRE	GUATEMALA	KOSOVO
ALGERIA	BENIN	CUBA	GUYANA	KUWAIT
AMERICAN SAMOA	BHUTAN	DJIBOUTI	HONDURAS	KYRGYZ REPUBLIC
ANGOLA	BOLIVIA	DOMINICAN REPUBLIC	HONG KONG	LEBANON
ARGENTINA	BOSNIA AND HERZEGOVINA	ECUADOR	INDIA	LESOTHO
ARMENIA	BOTSWANA	EGYPT	INDONESIA	MADAGASCAR
AZERBAIJAN	BRAZIL	EL SALVADOR	JAMAICA	MALAWI
BAHAMAS	BURKINA FASO	FIJI	JORDAN	MALDIVES
BAHRAIN	CAMBODIA	GABON	KAZAKHSTAN	MALI
BANGLADESH	CHINA	GHANA	KENYA	MARSHALL ISLANDS
BELARUS	COLOMBIA	GUAM	KIRIBATI	MAURITANIA

2/2  
102 countries  
(47%)

# High-Risk Countries

## SRAT Country Risk Classification



For suppliers based in high-risk country, a sustainability audit is required according to the audit rule.

### High-risk countries

MEXICO	OMAN	RWANDA	ST. KITTS AND NEVIS	TURKEY
MICRONESIA, FED. STS.	PAKISTAN	SÃO TOMÉ AND PRINCIPE	ST. LUCIA	UKRAINE
MOLDOVA	PALAU	SAUDI ARABIA	SURINAME	UZBEKISTAN
MONGOLIA	PANAMA	SENEGAL	SWAZILAND	VIETNAM
MONTENEGRO	PAPUA NEW GUINEA	SERBIA	TANZANIA	VIRGIN ISLANDS
MOROCCO	PARAGUAY	SEYCHELLES	THAILAND	WEST BANK AND GAZA
MOZAMBIQUE	PERU	SIERRA LEONE	TIMOR-LESTE	ZAMBIA
MYANMAR	PHILIPPINES	SOLOMON ISLANDS	TOGO	
NEPAL	ROMANIA	SOUTH AFRICA	TONGA	
NEW CALEDONIA	RUSSIA	SRI LANKA	TUNISIA	

53 countries  
(25%)

# Medium Risk Countries

## SRAT Country Risk Classification

### Medium risk countries

ANGUILLA	COSTA RICA	ISRAEL	MAURITIUS	SAN MARINO
ANTIGUA AND BARBUDA	CROATIA	ITALY	MONACO	SLOVAKIA
ARUBA	CYPRUS	KOREA, REP.	NAURU	SLOVENIA
BARBADOS	CZECH REPUBLIC	LATVIA	NETHERLANDS ANTILLES (FORMER)	SPAIN
BERMUDA	DOMINICA	LIECHTENSTEIN	NIUE	TAIWAN
BRUNEI DARUSSALAM	FRANCE	LITHUANIA	POLAND	TUVALU
BULGARIA	FRENCH GUIANA	MACAO SAR, CHINA	PORTUGAL	UNITED ARAB EMIRATES
CAPE VERDE	GEORGIA	MACEDONIA, FYR	PUERTO RICO	UNITED STATES
CAYMAN ISLANDS	GREECE	MALAYSIA	QATAR	URUGUAY
CHILE	GRENADA	MALTA	RÉUNION	
COOK ISLANDS	HUNGARY	MARTINIQUE	SAINT VINCENT AND THE GRENADINES	

22 countries  
(11%)

# Low Risk Countries

## SRAT Country Risk Classification

### Low risk countries

ANDORRA	ESTONIA	JAPAN	SINGAPORE
AUSTRALIA	FINLAND	JERSEY, CHANNEL ISLANDS	SWEDEN
AUSTRIA	GERMANY	LUXEMBOURG	SWITZERLAND
BELGIUM	GREENLAND	NETHERLANDS	UNITED KINGDOM
CANADA	ICELAND	NEW ZEALAND	
DENMARK	IRELAND	NORWAY	

# Underlying indicators for SRAT Country Risk Classification

The country risk classification in the Supplier Risk Assessment Tool (SRAT) is based on several indicators, covering governance, corruption, environment, social and tax haven risk:

- <sup>1</sup> Hurricane List and High Risk Third Country List 2020: <http://www.europarl.europa.eu/cmsdata/147404/7%20-%2001%20EPRS-Briefing-621872-Listing-tax-havens-by-the-EU-FINAL.PDF>
- <sup>2</sup> Workers Right 2020: [https://www.ituc-csi.org/IMG/pdf/ituc\\_globalrightsindex\\_2020\\_en.pdf](https://www.ituc-csi.org/IMG/pdf/ituc_globalrightsindex_2020_en.pdf)
- <sup>3</sup> HDI 2018: <http://hdr.undp.org/en/content/download-data>
- <sup>4</sup> ND-Gain 2020: <https://gain.nd.edu/our-work/country-index/download-data/>
- <sup>5</sup> World Bank 2019: <http://info.worldbank.org/governance/wgi/#home>
- <sup>6</sup> Corruption Perception 2019: [https://images.transparencycdn.org/images/CPI\\_FULL\\_DATA\\_2021-01-27-162209.zip](https://images.transparencycdn.org/images/CPI_FULL_DATA_2021-01-27-162209.zip)

